

# USDA Update: The SBIR Program and the USDA/REE Strategic Energy Plan

William Goldner, Ph.D.

National Program Leader, CSREES, SBIR Program and Chair, USDA Agricultural Bioenergy and Biobased Products Research, Education, and Extension Advisory Council

#### **OVERVIEW**

- USDA SBIR Program
- USDA/REE Energy Strategic Plan
- Closing Remarks

#### Features of USDA SBIR Program

Phase I Grants = 8 Months/\$80,000

Phase II Grants = 2 Years/\$350,000 (\$400,000 in 2010?)

University participation is encouraged

Commercialization Assistance Program in Phase I and Phase II

### 12 Topic Areas

- Forests & Related Resources
- Plant Production & Protection, Biology
- Plant Production & Protection, Engineering
- Animal Production and Protection
- Soil & Water Resources

- Food Science & Nutrition
- Rural & Community Development
- Aquaculture
- Biofuels and Biobased Products
- Marketing & Trade
- Animal Manure Management
- Small & Mid-Size Farms



### USDA/REE Energy Science Strategic Plan for Research, Education, and Extension

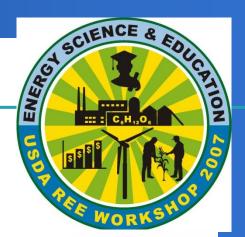
## USDA Energy Research, Education, and Extension –The Beginning

- Establish the USDA as a <u>world leader</u> for its <u>agricultural</u>
   <u>research, education and outreach</u> contributions to achieving a desirable level of energy independence for this nation
- Develop comprehensive, integrated intramural and extramural <u>research and education</u> programs that effectively explore the role of agriculture as both a user and producer of energy.
- <u>Coordinate and collaborate</u> energy research and education activities related to agriculture (including forestry) with other USDA Agencies as well as other Departments within the United States Government and partners in academia and industry.
- Enhance <u>transfer of energy technology</u> to agriculture producers, suppliers, and users.
- Establish effective communication and outreach for agricultural energy <u>extension and education</u> programs.

## USDA/REE Energy Science and Education Workshop

#### THE FIRST STEP

- In September 2007, REE held an "Energy Science and Education Workshop."
- Attendees at this workshop included leaders in bioenergy and bioproducts research from the USDA, other federal agencies and the nation's leading Institutions of higher learning.
- The product of this workshop is a roadmap for REE's future bioenergy research, education and extension programs.











## USDA Energy Research, Education, and Extension Strategy



United States Department of Agriculture

Research, Education, and Economics Mission Area

## SCIENCE PLAN

for

Research, Education, and Extension

This Plan presents a unifying vision and goals for research, education and extension energy initiatives

www.reeusda.gov

March 2008



### Purpose of the Plan

- Establish focus and facilitate collaboration
- Create public benefit through both internal activities and partnerships
- Pursue reliable and sustainable sources of agriculture and natural resource-based energy and biobased products
- Promote the health of rural communities
- Provide responsible stewardship of our natural resources

#### **Unique Resources and Capacities**

- Regional and local outreach
- Genetic resources, collections and crop breeding/genetics/genomic expertise for feedstock development
- Systems approach to feedstock development, production, and conversion
- Feedstock logistics (harvest, transportation, storage, and pretreatment)
- The use of animal manures, crop & forest residues, and other residuals as feedstocks
- Development of biobased products and value-added co-products
- A network of dedicated laboratories for performing basic and applied research
- Integration of basic and applied research, education, and Cooperative Extension in land-grant universities
  - Integration and coordination of activities among the REE agencies (ARS, CSREES, ERS, NASS)

### Strategic Vision

## "Growing a clean, efficient, sustainable energy future for America"

We have a vision that in five years the U.S. will have:

- Agriculture- and natural resource-based energy that enhances stewardship of our environment
- Sustainable, secure, renewable energy sources
- Vibrant and energy-efficient rural communities

## "USDA – a recognized leader in innovative energy solutions"

We have a vision that in five years the USDA will have:

- A workforce with expertise and foresight to address renewable energy challenges
- Robust partnerships with Federal agencies, universities and the private sector
- A fully integrated, systems approach to national and regional energy needs

#### 5-year Leadership Objectives

- USDA Energy Research, Education, and Extension Plan is recognized for its innovation across REE, USDA, Federal agencies, Congress and university community.
- The Energy Research, Education, and Extension Plan is incorporated into U.S. national energy strategy and action plans.
- Successful implementation of all initiatives, with outcomes continuously reevaluated and executed for maximum achievement.
- A strong network of partners with a shared vision is in place.
- Vibrant and effective public and private partnerships focused on specific issues with defined scopes exist at the national, regional, State, and local levels.
- Organized educational partnerships, including youth and adult education as well as college curricula are in place.
- Well-established marketing partnerships with scientific, educational, and industrial organizations are functioning.

#### **Key Leadership Strategies:**

- Align internal agencies and partners (LGUs) and external partners (e.g. Forest Service [FS], Natural Resource Conservation Service [NRCS], DOE, EPA, industry), and then identify and recruit external partners based on shared vision and needs with regard to specific topics and functions.
- Use existing energy committees and initiatives to promote the Energy Research, Education, and Extension Plan and programs (e.g., ABBREE, BBCC, Energy Council, Biomass R&D Board, NAREEEAB, BMTAC).
- Initiate joint planning that will result in progress through collaborative work.
- Facilitate the process of developing voluntary, stakeholder-driven, and credible certification systems to ensure sustainability of bioenergy and biobased products.
- Convene an annual USDA/REE Energy Summit to both maintain buy-in of the Plan and track implementation of programs as well as to identify new and emerging opportunities.
- Demonstrate incentives for partnerships with prospective and current partners by surveying what has worked historically.
- Take full advantage of USDA's communication infrastructure

### Programmatic Goals

- Sustainable agriculture and natural resource-based energy production
- Sustainable bioeconomies for rural communities
- Efficient use of energy and energy conservation
- Workforce development for the bioeconomy

## Goal 1: Sustainable Agriculture and Natural Results by 2013: Resource-Based Energy Production

- Whole life-cycle analyses of at least two potential regionally appropriate production systems. (8.9)
- High quality, cost effective feedstocks are developed. (8.1, 8.2, 8.8, 8.11)
- High quality, cost effective feedstocks are sustainably produced following REE science-based conservation plans. (8.2, 8.12, 8.13)
- Demonstrate at least two scalable conversion technologies suitable for regional energy production. (8.8)
- Sustainable integrated harvesting, transportation, storage, conversion, and distribution systems exist. (8.8, 8.11, 8.13)
- Analysis of environmental and economic impact of bioenergy production will have been conducted at the regional and national levels. (8.4)
- Analytical tools have been developed to assess the site-specific impacts of bioenergy feedstock production. (8.4, 8.11, 8.13)
- Comprehensive databases of feedstock characteristics are publicly accessible. (8.8)

#### **Goal 1: Sustainable Agriculture and**

#### Natural Resource-based Energy Production

#### **Key Strategies:**

- Evaluate existing and develop new economic and biophysical effects models to assess the sustainability of regional production of energy and products.
- Assemble a critical mass of genetic resources, biological information, and expertise to develop one or more sustainable energy biomass crops or crop mixtures for each region.
- Identify, charge, and seek funding for multidisciplinary teams that will develop genetic, production, harvesting, storage, and conversion technologies and methods to support energy and coproducts availability; and for the development of subsequent educational strategies to facilitate adoption.
- Utilize tools and partnerships to facilitate research and education issues (i.e., DOE, Sun Grant Initiative (SGI) and to inform decisions at the local, regional, and national levels.

#### Goal 2: Sustainable Bioeconomies

#### Results by 2013:

- Decision tools are available and training in their use is provided to all farmers, rural communities, processors, and policy makers.. (8.6, 8.9)
- Cooperative Extension educators are fully trained to support farmers, processors, and rural communities
- eXtension Communities of Practice are formed to support farmers, processors, and rural communities
- Biobased products and bioenergy coproducts have been evaluated for sustainability and market potential for regional integrated bioeconomies. (8.1, 8.6, 8.8, 8.9, 8.11, 8.12)
- Those farmers, communities, and processors displaced by a growing bioenergy industry in transitioning to new viable economic activities are assisted. (8.6, 8.12)

#### Goal 2: Sustainable Bioeconomies

#### **Key Strategies:**

- Use environmental, economic, and social analyses as tools to inform activities and research. (8.4, 8.6, 8.9)
- Train new and existing Cooperative Extension personnel to support activities within the rural bioenergy/bioeconomy and transitioning agricultural sector.
- Organize eXtension (on-line) Communities of Practice and develop web-based interactive user information.
- Evaluate market potential of biobased products and coproducts as part of the rural bioeconomy portfolio. (8.8, 8.9)
- Evaluate and understand the changing market conditions for traditional agricultural commodities. (8.6, 8.9)
- Develop new and enhance existing tools and partnerships to inform decisions at local, regional, and national levels.

#### Goal 3: Energy Efficiency and Conservation

#### Results by 2013:

- Energy education programs, including eXtension Communities of Practice established by Cooperative Extension personnel to reach traditional and non-traditional audiences.
- Energy intensity of agricultural production reduced by 10 percent.
- Establish a national agriculture- and naturalresource-based energy awareness campaign.
- Promote energy conservation awareness across the United States.

#### Goal 3: Energy Efficiency and Conservation

#### **Key Strategies:**

- Lead the establishment of a national energy extension network in partnership with land-grant universities and Federal agencies.
- Establish Energy Communities of Practice in eXtension.
- Develop and publicize decision support tools for home energy and on-farm energy conservation.
- Establish an energy garden at the National Arboretum in collaboration with a national conservation group.
- Develop a university-based program for energy conservation education.

#### **Goal 4: Workforce Development**

#### Results by 2013:

- Triple the number of students in college- and university- based bioenergy & bioeconomy education programs.
- A large proportion of high school students are aware of and take action on bioenergy concepts and agriculture and natural resource roles in developing the country's energy future.
- Expertise is available to implement Cooperative Extension energy programs across all States.

#### Goal 4: Workforce Development

#### **Key Strategies:**

- Establish and publicize a roadmap of educational activities that will lead to careers in bioenergy and the bioeconomy.
- Conduct a workforce needs assessment for the local and regional bioeconomy sector, including projections of all roles.
- Coordinate educational programs where appropriate to develop national-scale centers of research and education (K-12 & adult and informal programs) delivered through robust distributed educational programs in every region.
- Add significant numbers of graduate- and postgraduate-level grants to existing programs with a focus on bioenergy.
- Increase to at least 50 percent number of LGUs offering bioeconomy courses.

#### Goal 4: Workforce Development

#### **Key Strategies (Continued):**

- Increase Higher Education Challenge Grant and emphasis on distance education.
- Increase the number of REE bioenergy curriculum development grants.
- Establish and increase the number of REE bioenergy internship programs.
- Sponsor training about bioenergy and bioeconomies for existing USDA and Cooperative Extension staff.
- Establish a scientist exchange program, among e.g.,
   ARS, DOE, university partners.

#### **Critical to Implementation**

- Creating Partnerships for Plan Implementation
  - USDA & Federal Agencies
  - University and State Partners
  - Associations and Organizations
  - Industry

#### **Energy Plan Implementation**

- 24 Month Goal Action Plan (ABBREE)
  - Initial Goal Action Plan (Complete)
  - Identify Goal Leaders/Teams (Complete)
  - Evaluate Goal Implementation Team Plans (Ongoing)
  - Implementation (Ongoing)
- Agency Program Alignment (ARS, CSREES, ERS, NASS)
- USDA Energy Summit: Building and Growing Partnerships September 29-30, 2008 (ABBREE)

#### Renewable Energy-Relevant Topic Areas

- 8.1 Forests & Related Resources
- 8.2 Plant Production & Protection, Biology
- 8.13 Plant Production & Protection, Engineering
- Animal Production and Protection
- 8.4 Soil & Water Resources

- Food Science & Nutrition
- 8.6 Rural & Community Development
- Aquaculture
- 8.8 Biofuels and Biobased Products
- 8.9 Marketing & Trade
- 8.11 Animal Manure Management
- 8.12 Small & Mid-Size Farms

#### **Small Business Innovation Research**

#### Biofuels and Biobased Products (8.8) 2008 Emphasis

- Production of next generation biofuels and related co-products (no support for corn grain ethanol)
- New crops for the production of non-food biobased products
- New non-food biobased products from new industrial crops

www.csrees.usda.gov/fo/sbir

## Small Business Innovation Research (SBIR) Program

#### In the past six years:

- \$2 million in support for small businesses and their university and ARS partners to conduct industrial crop development and product research
- Tobacco, kenaf, sorghum, camelina, algae, sweet potato, guayule, switchgrass, and jatropha

## Technology Areas Supported by the USDA/SBIR Program

- Information Technology
- Robotics
- Electronics
- Biotechnology
- Nanotechnology
- Microelectro Mechanical Systems (MEMS)
- Acoustics
- Remote Sensing

- Genetic Engineering
- Material/Coatings
- Food Safety
- Biofuels
- Machine Vision
- Precision Agriculture
- Engineering
- Physics
- Chemistry

#### **Emerging Opportunities in Feedstock Logistics**

Regional requirements for different feedstocks:

Harvesting; handling; storage and quality preservation; pretreatment

Unique feedstock characteristics





Arbuckle's Native Seedster

#### Rural and Community Development

Focus on Innovative application of technology to address problems and opportunities that exist

in rural areas

#### Rural energy needs

- Energy conservation
- Energy transmission/storage
- Local energy generation

#### Success Story

- Emerald Ranches, Sunnyside, WA
- Phase I demonstrated feasibility of using municipal biosolids to grow canola to make biodiesel. Phase II will develop a closed loop biodiesel production facility.

#### Potential Impact:

 Provides a reliable source of biofuels for municipalities and small farmers at reduced costs.



#### **Closing Remarks**

- Focus on sustainable energy (bioenergy, wind, solar) highlights the value of agricultural research, education, outreach, tech-transfer
- Strategic roadmaps such as the Energy
   Science Strategic Plan may create
   opportunities for new bioenergy and biobased
   product development
- The Challenge for Small Businesses: Identify and pursue relevant regional solutions for sustainable renewable energy and biobased products, wind, and solar energy

#### **USDA SBIR Program**

#### **Dr. Peter Burfening**

Animal Production and Protection;

#### Dr. Charles Cleland

- Forests and Related Resources;
- Soil and Water Resources; Aquaculture;
- Small and Mid-size Farms

#### Dr. William Goldner

- Plant Production and ProtectionBiology;
- Biofuels an Biobased Products
- Plant Production and Protection -Engineering

#### **Dr. Richard Hegg**

Animal Manure Management

#### Dr. Suresh Sureshwaran

- •Rural and Community Development;
- Marketing and Trade

#### **Dr. Dionne Toombs**

Food Science and Nutrition

#### **Scott Dockum**

Program Specialist - SBIR

#### **USDA SBIR Program**

Waterfront Centre, Suite 2312 800 9th Street, SW Washington, DC 20024

Phone: (202) 401-4002 • Fax: (202) 401-6070

E-mail: sbir@csrees.usda.gov

Web Site:
www.csrees.usda.gov/funding/sbir/sbir.html